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**PATENTS**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ) Docket Number: **K047 1180.1**

STEVE D. SINGLETON )

Serial No: **10/079,920** ) Art Unit: **2632**

Filed: **February 20, 2002** ) Examiner: **Tong, Nina C.**

For: **ASSET MANAGEMENT SYSTEM**

**SIXTH INFORMATION DISCOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby voluntarily discloses the items listed on the attached Form PTO/SB/08A to the Commissioner for Patents. Pursuant to 37 CFR 1.98(a)(2)(i), copies of items A-D are not enclosed.

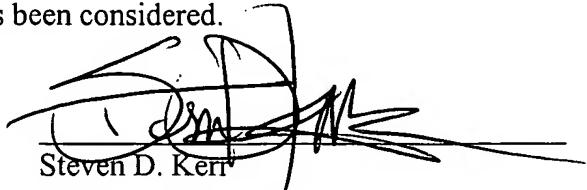
Copies of the items listed on the attached Form PTO/SB/08A are being submitted at this seemingly late date because they only recently became known to an individual associated with the filing or prosecution of the above-identified application; a certification, as specified in paragraph (e) of 37 CFR 1.97, is enclosed herewith.

Applicant further reserves the right to establish the patentability of the claimed invention over any of the listed information should they be applied as references, and/or to prove that some of the cited information may not be prior art, and/or to prove that some of the cited information may not be enabling for the teachings they purport to offer. This statement further should not be construed as a representation that an exhaustive search has been made, or that the information cited herewith is material, or that there does not exist information more material to the examination of the present Application. The Examiner is specifically requested not to rely solely on the information submitted herein. On the contrary, the Examiner is requested to conduct an independent and thorough review of the information, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return copies of the enclosed PTO-1449 and to indicate in the official file wrapper of the above-identified patent application that each item of the cited information has been considered.

Date:

April 7, 2005



Steven D. Kerr  
Attorney for Applicant  
Reg#32,472

File: K047 1180.1



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Serial No: 10/079,920 ) Art Unit: 2632

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For: ASSET MANAGEMENT SYSTEM

**37 CFR 1.97(e) CERTIFICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being submitted, in accordance with 37 CFR 1.97, with the Sixht Information Disclosure Statement enclosed herewith. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office regarding a related foreign application or was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Date: April 7, 2005

Steven D. Kerr  
Attorney for Applicant  
Reg#32,472

File: K047 1180.1



## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being mailed in an envelope by First Class mail to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

on 4/11/05

Suzanne Skinner  
(Typed or printed name of person mailing correspondence)

Suzanne Skinner  
(Signature of person mailing correspondence)

Serial Number: **10/079,920** Filing Date: **February 20, 2002**  
Title: **ASSET MANAGEMENT SYSTEM**  
Our Reference Number: **K047 1180.1**

6<sup>th</sup> Information Disclosure Statement  
37 CFR 1.97(e) Certification  
Form PTO/SB/08A (1 Page)  
Return Postcard



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**Substitute for form 1449/PTC**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

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**Complete if Known**

Application Number	10/079,920
Filing Date	02/20/02
First Named Inventor	William C. Maloney
Art Unit	2632
Examiner Name	Pham Toan Ngoc
Attorney Docket Number	K047 1180.1

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3) <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.